

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Lakshmi Kutty CHEENIVIL et al

Confirmation No.: 9525

Application No.: 09/943027

Examiner:

Filing Date: Aug 29, 2001

Group Art Unit: 2152

Title: Migration Of A Workflow System To Changed Process Definitions

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
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Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- ☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 29 April 2004

- ☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____
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Typed Name: Ramona J. Zaya

Signature: 

Respectfully submitted,

Lakshmi Kutty CHEENIVIL et al

By 

Wendell J. Jones

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Date: 29 April 2004

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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

70006393-1

APPLICATION NO.

09/943,027

CONFIRMATION NO.

9525

APPLICANT

Lakshmi Kutty CHEENIVIL et al.

FILING DATE

Aug 29, 2001

GROUP

2152

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A				
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	EP0903678	Mar 24, 1999	International Computers Ltd		
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Kradolfer M et al: "Dynamic Workflow Schema Evolution Based On Workflow Type Versioning And Workflow Migration" Cooperative Information Systems, 1999; IEEE Comput. Soc, US, 2 September 1999; Pages 104-114.
1R	Ellis C et al Association For Computing Machinery: "Dynamic Change Within Workflow Systems"; Conference on Organisational Computing Systems; Milpitas, CA., Aug 13, 13 August 1995; Pages 10-21
1S	

EXAMINER

DATE CONSIDERED